

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 10/714,196

Filing Date November 14, 2003

First Named Inventor Peter Jakubowski

Art Unit 2131

Examiner Name Unknown

Total Number of Pages in This Submission

Attorney Docket Number AMANO-013A

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment/Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement

- ☐ Certified Copy of Priority Document(s)
☐ Reply to Missing Parts/ Incomplete Application
☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation
Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____
☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please identify below):

Check for \$180.00; Certificate of Mailing and Return Postcard.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name STETINA BRUNDA GARRED & BRUCKER

Signature

Printed name Bruce B. Brunda

Date April 8, 2005

Reg. No. 28,497

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

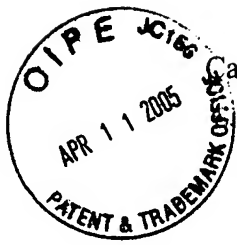
Signature

Typed or printed name Dawn A. Privett

Date April 8, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Case No.: AMANO-013A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Peter Jakubowski)	Group No.:	2131
)		
Serial No.:	10/714,196)		
)		
Filed:	11/14/2003)	Examiner:	Unknown
)		
For:	TIME RECORDER HAVING ASSOCIATED)		
	INTERMITTENT BIOMETRIC SENSOR AND)		
	COMPARISON APPARATUS)		

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. SECTION 1.97**

Mail Stop AMENDMENT
Commissioner for Patents
Post Office Box 1450
Alexandria, Virginia 22313-1450

Dear Sir/Madam:

Pursuant to 37 C.F.R. § 1.97, the following Information Disclosure Statement is submitted as listed on form PTO/SB/08A/08B enclosed herewith in duplicate.

No representation is made that the references disclosed herein legally constitute prior art, or that more relevant references are not available. The non-patent disclosure documents enclosed herewith and listed on the attached form (PTO/SB/08A/08B) are printed in the English language and/or accompanied by an Abstract published in the English language.

The references listed herein, when taken alone or in combination are not believed to disclose nor make obvious the invention as claimed in the subject application.

As this Information Disclosure Statement is being submitted before the stipulated

04/12/2005 ZJU HAR1 00000020 10714196

01 FC:1806

180.00 OP

three

months from the filing date of the application and/or before the mailing of a first Office Action, it is believed that no fee is required.

If a fee is required, please charge Account Number 19-4330.

Respectfully submitted,

Dated: April 8, 2005

By:



Bruce B. Brunda

Customer No. 007663

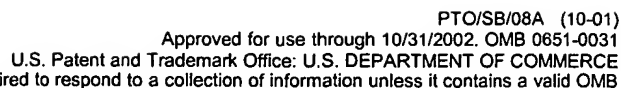
Registration No. 28,497

STETINA BRUNDA GARRED & BRUCKER

75 Enterprise, Suite 250

Aliso Viejo, CA 92656

(949) 855-1246



Under the Paper Control number.

Substitute for form 1449A/PTO

Complete if Known

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	10/714,196
Filing Date	11/14/2003
First Named Inventor	Peter Jakubowski
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	AMANO-013A

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

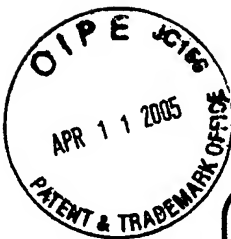
Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/714,196
		Filing Date	11/14/2003
		First Named Inventor	Peter Jakubowski
		Group Art Unit	2131
		Examiner Name	Unknown
		Attorney Docket Number	AMANO-013A
Sheet	1	of	3

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		AMANO CINCINNATI, INC. website re: TIME SOLUTIONS BX-1500 SERIES ELECTRONIC TIME RECORDER	
		AMANO CINCINNATI, INC. website re: TIME SOLUTIONS MRX-35 ELECTRONIC CALCULATING TIME CLOCK	
		AMANO CINCINNATI, INC. website re: AMANO NS-5100 SERIES ELECTRONIC TIME RECORDER	
		AMANO CINCINNATI, INC. website re: AMANO BX-6000 ELECTRONIC TIME RECORDER	
		AMANO CINCINNATI, INC. website re: AMANO CP-3000 ELECTRONIC TIME RECORDER	
		AMANO CINCINNATI, INC. website re: EX-9000 SERIES ELECTRONIC PAYROLL RECORDER	
		AMANO CINCINNATI, INC. website re: MJR7000 COMPUTERIZED TIME RECORDER	
		AMANO CINCINNATI, INC. website re: MJR-8000 SERIES COMPUTERIZED TIME RECORDER	
		AMANO CINCINNATI, INC. website re: TIME SOLUTIONS PIX-15 ELECTRONIC TIME RECORDER/TIME STAMP	
		AMANO CINCINNATI, INC. website re: TIME SOLUTIONS PIX-21 SERIES PORTABLE ELECTRONIC TIME RECORDER & TIME STAMP	
		AMANO CINCINNATI, INC. website re: PIX-3000X ELECTRONIC TIME RECORDER	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/714,196
		Filing Date	11/14/2003
		First Named Inventor	Peter Jakubowski
		Group Art Unit	2131
		Examiner Name	Unknown
Sheet	2	of	3
		Attorney Docket Number	AMANO-013A

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		AMANO CINCINNATI website re: TIME SOLUTIONS TCX-11 ELECTRONIC TIME CLOCK	
		AMANO CINCINNATI website re: TIME SOLUTIONS TCX-21/TCX-22 SERIES PORTABLE ELECTRONIC TIME RECORDER	
		AMANO CINCINNATI website re: AMANO 4700/4800 SERIES AUTOMATIC TIME & DATE STAMP	
		AMANO CINCINNATI website re: TRUTIME WORKFORCE MANAGEMENT SUITE MJR-8150	
		AMANO CINCINNATI website re: TRUTIME WORKFORCE MANAGEMENT SUITE MTX SERIES	
		AMANO CINCINNATI website re: TRUTIME WORKFORCE MANAGEMENT SUITE HANDPUNCH	
		AMANO CINCINNATI website re: HANDPUNCH 2000 TIME & ATTENDANCE TERMINAL	
		AMANO CINCINNATI website re: HANDPUNCH 3000 TIME & ATTENDANCE TERMINAL	
		AMANO CINCINNATI website re: HANDPUNCH 4000 TIME & ATTENDANCE TERMINAL	
		AMANO CINCINNATI website re: TIME SOLUTIONS TIME GUARDIAN EMPLOYEE TIME TRACKING SYSTEM	
		AMANO CINCINNATI website re: TIME SOLUTIONS TIME ATTENDANT EMPLOYEE TIME TRACKING SYSTEM	

Examiner Signature	Date Considered
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
	3	of	3
		Application Number	10/714,196
		Filing Date	11/14/2003
		First Named Inventor	Peter Jakubowski
		Group Art Unit	2131
		Examiner Name	Unknown
		Attorney Docket Number	AMANO-013A

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		AMANO CINCINNATI website re: TRUTIME WORKFORCE MANAGEMENT SUITE MOBILEPUNCH	
		AMANO CINCINNATI website re: TRUTIME WORKFORCE MANAGEMENT SUITE PC PUNCH	
		AMANO CINCINNATI website re: TRUTIME WORKFORCE MANAGEMENT SUITE FONE-PUNCH	
		AMANO CINCINNATI website re: TRUTIME WORKFORCE MANAGEMENT SUITE NETPUNCH	
		AMANO CINCINNATI flyer re: EX-6000N SERIES ELECTRONIC TIME RECORDER	
		ABBYY SOFTWARE HOUSE website re: FORMREADER	
		SCANSOFT website re: OMNIPAGE OFFICE	
		PROPHET Touch and Go Swapping PINs For Fingerprints, EDN Magazine, May 16, 2002, 34-36, 38, 40, 42, 44, 46	
		SecuGen Corporation FDA01 document; Standalone Unit w/Built in CPU Application Guide, pages 1-38.	

Examiner Signature		Date Considered	
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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	10/714,196
Filing Date	11/14/2003
First Named Inventor	Peter Jakubowski
Examiner Name	Unknown
Art Unit	2131
Attorney Docket No.	AMANO-013A

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☐ Deposit Account Deposit Account Number: 19-4330 Deposit Account Name: Stetina Brunda Garred & Brucker

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
_____ - 20 or HP = _____	x _____	= _____	

HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
_____ - 3 or HP = _____	x _____	= _____	

HP = highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims	
Fee (\$) Fee Paid (\$)	
_____	_____

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	/ 50 = _____	(round up to a whole number) x _____	250.00	0.00

4. OTHER FEE(S)


Non-English Specification, \$130 fee (no small entity discount)

Other: Information Disclosure Statement

Fees Paid (\$)

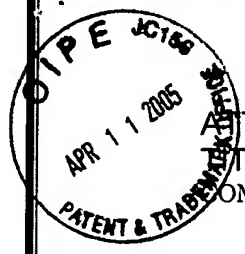
180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	28,497	Telephone	(949)855-1246
Name (Print/Type)	Bruce B. Brunda	Date	April 8, 2005		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ATTORNEY DOCKET NO: AMANO-013A

TITLE: TIME RECORDER HAVING ASSOCIATED INTERMITTENT BIOMETRIC SENSOR AND COMPARISON APPARATUS

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on April 8, 2005


(Signature)

Dawn A. Privett
(Typed name of person signing certificate)

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Information Disclosure Statement Transmittal Form (in duplicate);
2. Information Disclosure Statement by Applicant Form – Patent (in duplicate);
3. Information Disclosure Statement by Applicant Form – Non-patent (3 sheets in duplicate);
4. Disclosure Documents (of 31 stapled packets);
5. Fee Transmittal (in duplicate);
6. Check for \$180.00;
7. Transmittal Form;
8. Certificate of Mailing; and
9. Postcard